IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

1042 #UHR

Attorney Docket No. 053466/0234 11/30/99

In re patent application of

Masayuki TSUCHIYA, et al.

Serial No. 09/114,285

Group Art Unit: 1642

Filed: July 13, 1998

Examiner: G. Bansal

For: RESHAPED HUMAN ANTIBODY TO HUMAN INTERLEUKIN-6 RECEPTOR

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.56

Assistant Commissioner for Patents Washington, D.C. 20231

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Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR 1.56. A copy of each of the listed documents is being submitted to comply with the provisions of 37 CFR 1.97 and 1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a <u>prima facie</u> prior art reference against the claims of the present application.

RELEVANCE OF EACH DOCUMENT

All documents listed on the attached PTO-1449 were cited as being relevant during the prosecution of the corresponding Japanese application. English-language counterparts of foreign-language documents have been provided.

CERTIFICATION

The undersigned hereby certifies in accordance with 37 C.F.R. §1.97(e)(1) that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Statement.

Applicants respectfully request that the listed documents be considered by the Examiner and formally be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

Respectfully submitted,

November 17, 1999

Date

Kening Li

Reg. Mo. P-44,872

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